Appendix A: Informed Assent/Consent: Learner participation

Title of Research
Family psychosocial well-being in a South African context

Name of Researcher
Vicki Koen

It is important to note that, before agreeing to participate in this research study, you need to read the following explanation of the study. This statement describes the purpose, benefits, risks, discomforts, and precautions of the study. Your right to withdraw from this research project will be described and note that no guarantees or assurances can be made as to the results of the study. The following information will be explained to you again by the researcher in detail and in terms that are easier to understand.

Explanation of Procedures
This study is designed to investigate the psychosocial well-being of families in a South African context. Vicki Koen, a PhD student at the North-West University, Vaal Triangle Campus, is conducting this study to determine the prevalence of psychosocial well-being in families from diverse cultures in a South African context by completion of validated questionnaires; to identify families who report high, moderate and low levels of psychosocial well-being; to explore and describe aspects that contribute to the psychosocial well-being of families from diverse cultures in a South African context by analysing data obtained through narratives of and discussions identified with families and to formulate a theoretical framework followed by a model about the psychosocial well-being of families from diverse cultures in a South African context.

The research will consist of two phases. In the first phase validated psychological measuring instruments will be used to gather information. Thereafter your family might be contacted to take part in the second phase wherein interviews and narratives will be used to gather information. Interviews will be recorded on a voice recorder.
**Risks and Discomforts**
No intentional risks or harm are anticipated as a result of your participation. The researcher who is a registered psychological counselor will conduct the research activities and will be sensitive to identify any emotional discomfort in participants or families throughout the process. In such a case, provision will be made for single session counseling services to learners or their families who experience emotional discomfort due to the nature of this research or their participation therein. The researcher will not be personally involved in such sessions.

**Benefits**
This study will be beneficial by contributing to our knowledge base of family psychosocial well-being in South Africa. It will benefit families in South Africa by developing a model to enhance the psychosocial well-being of families from diverse cultures.

**Confidentiality**
The information gathered during this research project will at all times remain confidential. Participants’ and schools’ names will not be disclosed during the research or publication of the results. Information obtained via the research will be used for research purposes only. Feedback on the results of the study will be given to the principals of the participating schools. The research results will be presented in the format of a thesis including three articles that will be submitted to the North-West University, Vaal Triangle Campus, for examination.

**Withdrawal without repercussions**
Participation is voluntary and refusal to participate in this study will involve no penalty. Each participant is free to withdraw consent and discontinue participation at any given moment in time.

**Costs or Payments**
There will be no costs involved for taking part in this research study. No participant will receive any payment to participate in this research project.
Questions
Participants may contact Prof. Chrizanne van Eeden (Chrizanne.VanEeden@nwu.ac.za) if they have any questions concerning this research study.

Agreement
This agreement states that you have read and received a copy of this informed consent. Your signature below indicates that you understand the parameters of your participation and agree to take part in this research study.

Signature of Participant (Learner) ______________________
Date ____________________
Participant’s Name ___________________________________
Signature of Researcher ____________________________
Date ____________________

ASSENT FORM (for learners)
Title of Research
Family psychosocial well-being in a South African context

Name of Researcher
Vicki Koen
☐ I confirm that I have read and understand the information given in the Informed Consent for abovementioned study.
☐ I have had the opportunity to consider the information, ask questions about it and have had them answered satisfactorily.
☐ I understand that my participation is voluntary and that I am free to withdraw at any time and without giving a reason.
☐ I understand that the data gathered from this study will be accessible to other professionals at the North-West University and that the results will be published.
☐ I agree to take part in this research project.

Signature of Participant (learner)_______________________
Date ________________
Participant’s Name ___________________________________
Signature of Researcher ____________________________
Date ___________________

CONSENT FORM (for parents/guardians)

Title of Research
Family psychosocial well-being in a South African context

Name of Researcher
Vicki Koen

☐ I confirm that I have read and understand the information given in the Informed Consent for abovementioned study.

☐ I have had the opportunity to consider the information, ask questions about it and have had them answered satisfactorily.

☐ I understand that my child’s participation is voluntary and that he/she free to withdraw at any time and without giving a reason.

☐ I understand that the data gathered from this study will be accessible to other professionals at the North-West University and that the results will be published.

☐ I agree to let my child take part in this research project.

Signature of Parent/Guardian ______________________
Date __________________
Parent/Guardian’s Name ___________________________________
Signature of Researcher ______________________
Date __________________

FUTURE CONTACT PAGE

The best way to reach me is:
Address: _________________________________________________
________________________________________________________

Email: _____________________________

Telephone Number: __________________
Mobile Number: __________________

( ) No, you may not contact me about future participation in this study.

Would you like us to send you a short summary of the study’s findings when it is finished?

( ) No ( ) Yes, please.

Please provide an address where you would like us to send it to:
Address: _________________________________________________
________________________________________________________
Email: _____________________________
Appendix B: Informed Consent: Parent/guardian participation

Title of Research
Family psychosocial well-being in a South African context

Name of Researcher
Vicki Koen

It is important to note that, before agreeing to participate in this research study, you need to read the following explanation of the study. This statement describes the purpose, benefits, risks, discomforts, and precautions of the study. Your right to withdraw from this research project will be described and note that no guarantees or assurances can be made as to the results of the study.

Explanation of Procedures
This study is designed to investigate the psychosocial well-being of families in a South African context. Vicki Koen, a PhD student at the North-West University, Vaal Triangle Campus, is conducting this study to determine the prevalence of psychosocial well-being in families from diverse cultures in a South African context by completion of validated questionnaires; to identify families who report high, moderate and low levels of psychosocial well-being; to explore and describe aspects that contribute to the psychosocial well-being of families from diverse cultures in a South African context by analysing data obtained through narratives of and discussions identified with families and to formulate a theoretical framework followed by a model about the psychosocial well-being of families from diverse cultures in a South African context.

The research will consist of two phases. In the first phase validated psychological measuring instruments will be used to gather information. Thereafter your family might be contacted to take part in the second phase wherein interviews and narratives will be used to gather information. Interviews will be recorded on a voice recorder.

Risks and Discomforts
No intentional risks or harm are anticipated as a result of your participation. The researcher who is a registered psychological counselor will conduct the research activities and will be
sensitive to identify any emotional discomfort in participants or families throughout the process. In such a case, provision will be made for single session counseling services to learners or their families who experience emotional discomfort due to the nature of this research or their participation therein. The researcher will not be personally involved in such sessions.

**Benefits**
This study will be beneficial by contributing to our knowledge base of family psychosocial well-being in South Africa. It will benefit families in South Africa by developing a model to enhance the psychosocial well-being of families from diverse cultures.

**Confidentiality**
The information gathered during this research project will at all times remain confidential. Participants’ and schools’ names will not be disclosed during the research or publication of the results. Information obtained via the research will be used for research purposes only. Feedback on the results of the study will be given to the principals of the participating schools. The research results will be presented in the format of a thesis including three articles that will be submitted to the North-West University, Vaal Triangle Campus, for examination.

**Withdrawal without repercussions**
Participation is voluntary and refusal to participate in this study will involve no penalty. Each participant is free to withdraw consent and discontinue participation at any given moment in time.

**Costs or Payments**
There will be no costs involved for taking part in this research study. No participant will receive any payment to participate in this research project.

**Questions**
Participants may contact Prof. Chrizanne van Eeden (Chrizanne.VanEeden@nwu.ac.za) if they have any questions concerning this research study.
Agreement
This agreement states that you have read and received a copy of this informed consent. Your signature below indicates that you understand the parameters of your participation and agree to take part in this research study.

Signature of Participant ________________________________
Date __________________
Participant’s Name ___________________________________
Signature of Researcher ________________________________
Date __________________

CONSENT FORM (for parents/guardians)

Title of Research
Family psychosocial well-being in a South African context

Name of Researcher
Vicki Koen
☐ I confirm that I have read and understand the information given in the Informed Consent for abovementioned study.
☐ I have had the opportunity to consider the information, ask questions about it and have had them answered satisfactorily.
☐ I understand that my participation is voluntary and that I am free to withdraw at any time and without giving a reason.
☐ I understand that the data gathered from this study will be accessible to other professionals at the North-West University and that the results will be published.
☐ I agree to take part in this research project.

Signature of Participant ________________________________
Date __________________
Participant’s Name ___________________________________
Signature of Researcher ________________________________
Date __________________

FUTURE CONTACT PAGE
The best way to reach me is:
Appendix C: Permission from various authorities to conduct research

ETHICS APPROVAL OF PROJECT

This is to certify that the next project was approved by the NWU Ethics Committee:

Project title:

*Family psychosocial well-being in a South African context*

Project leader: Prof. O Van Exden  Student: V Koen

Ethics number: NWU-00065-11-A9

Status: S = Submission; R = Re-Submission; P = Provisional Authorization; A = Authorization

Expiry date: 2016/08/30

The Ethics Committee would like to remain at your service as scientist and researcher, and wishes you well with your project.

Please do not hesitate to contact the Ethics Committee for any further enquiries or requests for assistance.

The formal ethics approval certificate will follow shortly.

Yours sincerely

[Signature]

H M Haigryn  
NWU Research Ethics Secretariat
Date: 20 June 2011
Name of Researcher: Koen Vicki
Address of Researcher: Plot 92
Ten Acres
Randfontein 1760
Telephone Number: 0712666807
Fax Number: N/A
Research Topic: Family Psychosocial Well-Being in South Africa
Number and type of schools: 6 Secondary Schools
District/s/HO Gauteng North & West and Sedibeng West

Re: Approval in Respect of Request to Conduct Research

This letter serves to indicate that approval is hereby granted to the above-mentioned researcher to proceed with research in respect of the study indicated above. The onus rests with the researcher to negotiate appropriate and relevant time schedules with the school/s and/or offices involved to conduct the research. A separate copy of this letter must be presented to both the School (both Principal and SGB) and the District/Head Office Senior Manager confirming that permission has been granted for the research to be conducted.

Permission has been granted to proceed with the above study subject to the conditions listed below being met, and may be withdrawn should any of these conditions be flouted:

1. The District/Head Office Senior Manager/s concerned must be presented with a copy of this letter that would indicate that the said researcher/s has/have been granted permission from the Gauteng Department of Education to conduct the research study.
2. The District/Head Office Senior Manager/s must be approached separately, and in writing, for permission to involve District/Head Office Officials in the project.
3. A copy of this letter must be forwarded to the school principal and the chairperson of the School Governing Body (SGB) that would indicate that the researcher/s have been granted permission from the Gauteng Department of Education to conduct the research study.
4. A letter/document that outlines the purpose of the research and the anticipated outcomes of such research must be made available to the principals, SGBs and District/Head Office Senior Managers of the schools and districts/offices concerned, respectively.

5. The Researcher will make every effort obtain the goodwill and co-operation of all the GDE officials, principals, and chairpersons of the SGBs, teachers and learners involved. Persons who offer their co-operation will not receive additional remuneration from the Department while those that opt not to participate will not be penalised in any way.

6. Research may only be conducted after school hours so that the normal school programme is not interrupted. The Principal (if at a school) and/or Director (if at a district/head office) must be consulted about an appropriate time when the researcher/s may carry out their research at the sites that they manage.

7. Research may only commence from the second week of February and must be concluded before the beginning of the last quarter of the academic year.

8. Items 6 and 7 will not apply to any research effort being undertaken on behalf of the GDE. Such research will have been commissioned and be paid for by the Gauteng Department of Education.

9. It is the researcher’s responsibility to obtain written parental consent of all learners that are expected to participate in the study.

10. The researcher is responsible for supplying and utilising his/her own research resources, such as stationery, photocopies, transport, faxes and telephones and should not depend on the goodwill of the institutions and/or the offices visited for supplying such resources.

11. The names of the GDE officials, schools, principals, parents, teachers and learners that participate in the study may not appear in the research report without the written consent of each of these individuals and/or organisations.

12. On completion of the study the researcher must supply the Director: Knowledge Management & Research with one Hard Cover bound and one Ring bound copy of the final, approved research report. The researcher would also provide the said manager with an electronic copy of the research abstract/summary and/or annotation.

13. The researcher may be expected to provide short presentations on the purpose, findings and recommendations of his/her research to both GDE officials and the schools concerned.

14. Should the researcher have been involved with research at a school and/or a district/head office level, the Director concerned must also be supplied with a brief summary of the purpose, findings and recommendations of the research study.

The Gauteng Department of Education wishes you well in this important undertaking and looks forward to examining the findings of your research study.

Kind regards

Nomvula Ubisi
DEPUTY CHIEF EDUCATION SPECIALIST: RESEARCH

The contents of this letter has been read and understood by the researcher.

<table>
<thead>
<tr>
<th>Signature of Researcher:</th>
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INFORMATION AND REQUEST FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

Dear Principal,

I am studying for a PhD degree in Psychology at the North-West University (Vaal Triangle Campus). I am doing research on the above topic and will appreciate it if your learners can take part in this research. The objectives of this research will be to determine the prevalence of psychosocial well-being in families from diverse cultures in a South African context by completion of validated questionnaires; to identify families who report high, moderate and low levels of psychosocial well-being; to explore and describe aspects that contribute to the psychosocial well-being of families from diverse cultures in a South African context by analysing data obtained through narratives of and discussions identified with families and to formulate a theoretical framework followed by a model about the psychosocial well-being of families from diverse cultures in a South African context.

The research will consist of two phases. In the first phase validated psychological measuring instruments will be used to gather information. Thereafter the learners’ families might be contacted to take part in the second phase wherein interviews and narratives will be used to gather information. Interviews will be recorded on a voice recorder. The information gathered will be confidential. Your school’s name and learners’ names will not be disclosed during the research or publication of the results.

Participation is voluntary and you are kindly requested to complete the attached permission form to indicate that you are willing to let your learners participate in this research. Results will be made available to schools who participate in the study.

Please see the attached proposal for further information and contact me if you have any questions.

Thanking you in anticipation

[Signature]
V Koen (Researcher)

PERMISSION BY PRINCIPAL FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

[Signature]

[Date]
INFORMATION AND REQUEST FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

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Please see the attached proposal for further information and contact me if you have any questions.

Thanking you in anticipation

V Koen (Researcher)

PERMISSION BY PRINCIPAL FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

I .................. hereby give my permission that the research study “Family psychosocial well-being in a South African context” may be conducted at the secondary school in question. Please provide a date/dates that would best suite you for the research to be conducted with grade 8-12 learners.................................................................

Signature: .................................................................
Date: 2011-06-02
INFORMATION AND REQUEST FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

Dear Principal

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Thanking you in anticipation

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Family psychosocial well-being in a South African context

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Signature:…………………………
Date:…………………………
INFORMATION AND REQUEST FOR LEARNERS TO PARTICIPATE IN RESEARCH:
Family psychosocial well-being in a South African context

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Thanking you in anticipation

[Signature]

V Koen (Researcher)

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Family psychosocial well-being in a South African context

I, [Principal's Name], hereby give my permission that the research study “Family psychosocial well-being in a South African context” may be conducted at the secondary school in question. Please provide a date/dates that would best suit you for the research to be conducted with grade 8-12 learners: [Date(s)].

Signature: [Signature]

Date: [Date]
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Family psychosocial well-being in a South African context

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Signature: ___________________________

Date: ___________________________